



UNITED STATES PATENT AND TRADEMARK OFFICE

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 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/997,155	11/28/2001	James Carlyle	537-1059

CONFIRMATION NO. 3610

FORMALITIES LETTER



OC00000007206908

William M. Lee, Jr.
 Lee, Mann, Smith, McWilliams, Sweeney & Ohlson
 P.O. Box 2786
 Chicago, IL 60690-2786

COPY OF PAPERS
 ORIGINALLY FILED

Date Mailed: 12/18/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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537-1059

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE THE APPLICATION OF
Carlyle et al.
SERIAL NO. 09/997,155
FILED: November 28, 2001
FOR: DATA STORAGE AND RETRIEVAL
SYSTEM



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) ATTN: Application Branch
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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to "Commissioner for Patents, Box: Missing Parts, Washington, D.C. 20231" on February 19, 2002.

Name of person signing Jennifer J. Ramirez

Signature 

SUBMISSION OF DECLARATION

Honorable Director of Patents
and Trademarks
Washington, D.C. 20231

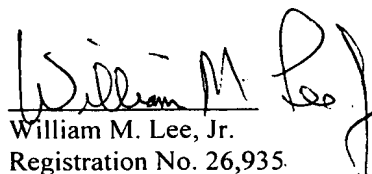
Dear Sir:

In response to the Notice to file Missing Parts of Application, issued December 18, 2001, submitted herewith is a declaration, signed by the inventor(s). Therefore, the requirement of the Patent and Trademark Office for a declaration has been met.

In accordance with the provisions of the rules of the Patent and Trademark Office, the required surcharge of \$130.00 is appended hereto.

February 19, 2002

Respectfully submitted,


William M. Lee, Jr.
Registration No. 26,935

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